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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/678,509 Confirmation No. 1911  
Applicant : SURESH C. GUPTA ET AL.  
Filed : October 3, 2003  
Title : METHOD AND APPARATUS FOR COMPENSATING  
OUTPUT VOLTAGE FLUCTUATIONS OF  
TURBINE/ALTERNATOR ON COMMON SHAFT  
Group Art Unit : 2834  
Examiner : Not Yet Assigned

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

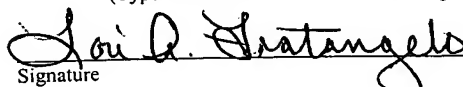
Pursuant to the requirements of 37 C.F.R. §1.56, 1.97, and 1.98, Applicants hereby submit this Information Disclosure Statement, which includes a completed Form PTO/SB/08A.

This application is a division of application No. 10/446,386, which is a continuation of application No. 09/840,572, filed April 23, 2001, which is a continuation of application No. 09/319,390, filed June 1, 1999, which is the United States national phase of International Application No. PCT/US97/22405, filed December 3, 1997 which designated, *inter alia*, the United States, and which claims the benefit of provisional application

I hereby certify that this corresponding is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 28, 2004.

Lori A. Fratangelo

(Type or Print Name of Person Making Deposit)



Signature

5/28/2004  
Date

No. 60/032,149, filed December 3, 1996. The U.S. and EP references listed on Form PTO/SB/08A were submitted and cited by either Applicants or Examiner during prosecution of the aforementioned cases.

Pursuant to 37 C.F.R. § 1.97(b)(3), no fee is believed to be due for the submission of this Information Disclosure Statement, as it is being submitted before the mailing date of a first Office Action on the merits. However, should an Office Action on the merits issue prior to the mailing date of this Information Disclosure Statement, the Commissioner for Patents and Trademarks is hereby authorized to charge any additional fees which may be required to Deposit Account No. 23-0650. One (1) original and two (2) copies of this Information Disclosure Statement are enclosed.

Respectfully submitted,

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By



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PTO/SB/08A (08-00)

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Approved for use through 10/31/2001. OMB 0651-0031

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| <b>Substitute for form 1449A/PTO</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |   |    |   | <b>Complete if Known</b> |                  |
|                                                                                                                                               |   |    |   | Application Number       | 10/678,509       |
|                                                                                                                                               |   |    |   | Filing Date              | October 3, 2003  |
|                                                                                                                                               |   |    |   | First Named Inventor     | Suresh C. GUPTA  |
|                                                                                                                                               |   |    |   | Group Art Unit           | 2834             |
|                                                                                                                                               |   |    |   | Examiner Name            | Not Yet Assigned |
|                                                                                                                                               |   |    |   | Attorney Docket Number   | 3419-031891      |
| Sheet                                                                                                                                         | 1 | of | 1 |                          |                  |

| U.S. PATENT DOCUMENTS |                          |                      |                        |                                                    |                                                        |                                                                                    |
|-----------------------|--------------------------|----------------------|------------------------|----------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | U.S. Patent Document |                        | Name of Patentee or Applicant<br>of Cited Document | Date of Publication<br>of Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |
|                       |                          | Number               | Kind Code <sup>2</sup> |                                                    |                                                        |                                                                                    |
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|--------------------------|--------------------------|-------------------------|---------------------|---------------------------|-------------------------------------------------------|------------------------------------------|---------------------------------------------------------------------------------|----------------|
| Examiner<br>Initials     | Cite<br>No. <sup>1</sup> | Foreign Patent Document |                     |                           | Name of Patentee or<br>Applicant of Cited<br>Document | Date of Publication<br>of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
|                          |                          | Office <sup>3</sup>     | Number <sup>4</sup> | Kind<br>Code <sup>5</sup> |                                                       |                                          |                                                                                 |                |
|                          |                          |                         |                     |                           |                                                       |                                          |                                                                                 |                |
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| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |                       |                                                                                                                                                                                                                                                                  |  |                |
|---------------------------------------------------|-----------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------------|
|                                                   |                       |                                                                                                                                                                                                                                                                  |  |                |
| Examiner Initials*                                | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, cite and/or country) where published. |  | T <sup>2</sup> |
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|                                                   |                       |                                                                                                                                                                                                                                                                  |  |                |

|                       |                    |
|-----------------------|--------------------|
| Examiner<br>Signature | Date<br>Considered |
|-----------------------|--------------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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